

Part II Organizational Action *(continued)*

17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ▶ _____

IRC Section 301(c)(2) - Basis reduction for distributions in excess of Earnings & Profits.

IRC Section 316(b)(4) - Special rule for allocation of distributions in excess of E&P for a fiscal year RIC.

18 Can any resulting loss be recognized? ▶ No.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ▶ _____

This action is effective on the distribution date listed in the attachment.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here Signature ▶  Date ▶ 1/7/2022

Print your name ▶ Hasani Edwards Title ▶ Assistant Treasurer

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ▶				Firm's EIN ▶
	Firm's address ▶				Phone no.

Description (Fund Name)	CUSIP	Ticker Symbol	Record Date	Ex-Dividend Date	Payable Date	Total Distribution Per Share	Taxable Distribution Per Share	NonTaxable Distribution Per Share
MainStay MacKay Short Duration High Yield Fund	56064B753	MDHAX	1/28/2021	1/29/2021	1/29/2021	0.037600000	0.037210934	0.000389066
MainStay MacKay Short Duration High Yield Fund	56064B746	MDHCX	1/28/2021	1/29/2021	1/29/2021	0.030400000	0.030085436	0.000314564
MainStay MacKay Short Duration High Yield Fund	56064B738	MDHIX	1/28/2021	1/29/2021	1/29/2021	0.039600000	0.039190239	0.000409761
MainStay MacKay Short Duration High Yield Fund	56064B720	MDHRX	1/28/2021	1/29/2021	1/29/2021	0.036700000	0.036320247	0.000379753
MainStay MacKay Short Duration High Yield Fund	56064B233	MDHTX	1/28/2021	1/29/2021	1/29/2021	0.034700000	0.034340942	0.000359058
MainStay MacKay Short Duration High Yield Fund	56064B761	MDHVX	1/28/2021	1/29/2021	1/29/2021	0.036800000	0.036419212	0.000380788
MainStay MacKay Short Duration High Yield Fund	56064B753	MDHAX	2/25/2021	2/26/2021	2/26/2021	0.030300000	0.029986471	0.000313529
MainStay MacKay Short Duration High Yield Fund	56064B746	MDHCX	2/25/2021	2/26/2021	2/26/2021	0.023800000	0.023553730	0.000246270
MainStay MacKay Short Duration High Yield Fund	56064B738	MDHIX	2/25/2021	2/26/2021	2/26/2021	0.032200000	0.031866811	0.000333189
MainStay MacKay Short Duration High Yield Fund	56064B720	MDHRX	2/25/2021	2/26/2021	2/26/2021	0.029600000	0.029293714	0.000306286
MainStay MacKay Short Duration High Yield Fund	56064B233	MDHTX	2/25/2021	2/26/2021	2/26/2021	0.026100000	0.025829930	0.000270070
MainStay MacKay Short Duration High Yield Fund	56064B761	MDHVX	2/25/2021	2/26/2021	2/26/2021	0.029600000	0.029293714	0.000306286
MainStay MacKay Short Duration High Yield Fund	56064B753	MDHAX	3/30/2021	3/31/2021	3/31/2021	0.036700000	0.036320247	0.000379753
MainStay MacKay Short Duration High Yield Fund	56064B746	MDHCX	3/30/2021	3/31/2021	3/31/2021	0.029300000	0.028996818	0.000303182
MainStay MacKay Short Duration High Yield Fund	56064B738	MDHIX	3/30/2021	3/31/2021	3/31/2021	0.038800000	0.038398517	0.000401483
MainStay MacKay Short Duration High Yield Fund	56064B720	MDHRX	3/30/2021	3/31/2021	3/31/2021	0.035800000	0.035429560	0.000370040
MainStay MacKay Short Duration High Yield Fund	56064B233	MDHTX	3/30/2021	3/31/2021	3/31/2021	0.033800000	0.033450255	0.000349745
MainStay MacKay Short Duration High Yield Fund	56064B761	MDHVX	3/30/2021	3/31/2021	3/31/2021	0.035500000	0.035132664	0.000367336
MainStay MacKay Short Duration High Yield Fund	56064B753	MDHAX	4/29/2021	4/30/2021	4/30/2021	0.031800000	0.031470950	0.000329050
MainStay MacKay Short Duration High Yield Fund	56064B746	MDHCX	4/29/2021	4/30/2021	4/30/2021	0.024800000	0.024543382	0.000256618
MainStay MacKay Short Duration High Yield Fund	56064B738	MDHIX	4/29/2021	4/30/2021	4/30/2021	0.033800000	0.033450255	0.000349745
MainStay MacKay Short Duration High Yield Fund	56064B720	MDHRX	4/29/2021	4/30/2021	4/30/2021	0.030800000	0.030481297	0.000318703
MainStay MacKay Short Duration High Yield Fund	56064B233	MDHTX	4/29/2021	4/30/2021	4/30/2021	0.028900000	0.028600957	0.000299043
MainStay MacKay Short Duration High Yield Fund	56064B761	MDHVX	4/29/2021	4/30/2021	4/30/2021	0.031000000	0.030679228	0.000320772
MainStay MacKay Short Duration High Yield Fund	56064B753	MDHAX	5/27/2021	5/28/2021	5/28/2021	0.034800000	0.034439907	0.000360093
MainStay MacKay Short Duration High Yield Fund	56064B746	MDHCX	5/27/2021	5/28/2021	5/28/2021	0.027500000	0.027215444	0.000284556
MainStay MacKay Short Duration High Yield Fund	56064B738	MDHIX	5/27/2021	5/28/2021	5/28/2021	0.036800000	0.036419212	0.000380788
MainStay MacKay Short Duration High Yield Fund	56064B720	MDHRX	5/27/2021	5/28/2021	5/28/2021	0.033900000	0.033549220	0.000350780
MainStay MacKay Short Duration High Yield Fund	56064B233	MDHTX	5/27/2021	5/28/2021	5/28/2021	0.032100000	0.031767845	0.000332155
MainStay MacKay Short Duration High Yield Fund	56064B761	MDHVX	5/27/2021	5/28/2021	5/28/2021	0.033900000	0.033549220	0.000350780
MainStay MacKay Short Duration High Yield Fund	56064B753	MDHAX	6/29/2021	6/30/2021	6/30/2021	0.030600000	0.030283367	0.000316633
MainStay MacKay Short Duration High Yield Fund	56064B746	MDHCX	6/29/2021	6/30/2021	6/30/2021	0.023500000	0.023256834	0.000243166
MainStay MacKay Short Duration High Yield Fund	56064B738	MDHIX	6/29/2021	6/30/2021	6/30/2021	0.032600000	0.032262672	0.000337328
MainStay MacKay Short Duration High Yield Fund	56064B720	MDHRX	6/29/2021	6/30/2021	6/30/2021	0.029800000	0.029491645	0.000308355
MainStay MacKay Short Duration High Yield Fund	56064B233	MDHTX	6/29/2021	6/30/2021	6/30/2021	0.027700000	0.027413374	0.000286626
MainStay MacKay Short Duration High Yield Fund	56064B761	MDHVX	6/29/2021	6/30/2021	6/30/2021	0.029800000	0.029491645	0.000308355
MainStay MacKay Short Duration High Yield Fund	56064B753	MDHAX	7/29/2021	7/30/2021	7/30/2021	0.028900000	0.028600957	0.000299043
MainStay MacKay Short Duration High Yield Fund	56064B746	MDHCX	7/29/2021	7/30/2021	7/30/2021	0.021800000	0.021574425	0.000225575
MainStay MacKay Short Duration High Yield Fund	56064B738	MDHIX	7/29/2021	7/30/2021	7/30/2021	0.031000000	0.030679228	0.000320772
MainStay MacKay Short Duration High Yield Fund	56064B720	MDHRX	7/29/2021	7/30/2021	7/30/2021	0.028100000	0.027809235	0.000290765
MainStay MacKay Short Duration High Yield Fund	56064B233	MDHTX	7/29/2021	7/30/2021	7/30/2021	0.026000000	0.025730965	0.000269035
MainStay MacKay Short Duration High Yield Fund	56064B761	MDHVX	7/29/2021	7/30/2021	7/30/2021	0.028100000	0.027809235	0.000290765
MainStay MacKay Short Duration High Yield Fund	56064B753	MDHAX	8/30/2021	8/31/2021	8/31/2021	0.031000000	0.030679228	0.000320772
MainStay MacKay Short Duration High Yield Fund	56064B746	MDHCX	8/30/2021	8/31/2021	8/31/2021	0.024000000	0.023751660	0.000248340
MainStay MacKay Short Duration High Yield Fund	56064B738	MDHIX	8/30/2021	8/31/2021	8/31/2021	0.033100000	0.032757498	0.000342502
MainStay MacKay Short Duration High Yield Fund	56064B720	MDHRX	8/30/2021	8/31/2021	8/31/2021	0.030100000	0.029788540	0.000311460
MainStay MacKay Short Duration High Yield Fund	56064B233	MDHTX	8/30/2021	8/31/2021	8/31/2021	0.028000000	0.027710270	0.000289730
MainStay MacKay Short Duration High Yield Fund	56064B761	MDHVX	8/30/2021	8/31/2021	8/31/2021	0.030200000	0.029887506	0.000312494
MainStay MacKay Short Duration High Yield Fund	56064B753	MDHAX	9/29/2021	9/30/2021	9/30/2021	0.030800000	0.030481297	0.000318703
MainStay MacKay Short Duration High Yield Fund	56064B746	MDHCX	9/29/2021	9/30/2021	9/30/2021	0.023900000	0.023652695	0.000247305
MainStay MacKay Short Duration High Yield Fund	56064B738	MDHIX	9/29/2021	9/30/2021	9/30/2021	0.032800000	0.032460602	0.000339398
MainStay MacKay Short Duration High Yield Fund	56064B720	MDHRX	9/29/2021	9/30/2021	9/30/2021	0.029900000	0.029590610	0.000309390
MainStay MacKay Short Duration High Yield Fund	56064B233	MDHTX	9/29/2021	9/30/2021	9/30/2021	0.028000000	0.027710270	0.000289730
MainStay MacKay Short Duration High Yield Fund	56064B761	MDHVX	9/29/2021	9/30/2021	9/30/2021	0.030000000	0.029689575	0.000310425
MainStay MacKay Short Duration High Yield Fund	56064B753	MDHAX	10/28/2021	10/29/2021	10/29/2021	0.031800000	0.031470950	0.000329050
MainStay MacKay Short Duration High Yield Fund	56064B746	MDHCX	10/28/2021	10/29/2021	10/29/2021	0.024900000	0.024642347	0.000257653
MainStay MacKay Short Duration High Yield Fund	56064B738	MDHIX	10/28/2021	10/29/2021	10/29/2021	0.033900000	0.033549220	0.000350780
MainStay MacKay Short Duration High Yield Fund	56064B720	MDHRX	10/28/2021	10/29/2021	10/29/2021	0.031000000	0.030679228	0.000320772
MainStay MacKay Short Duration High Yield Fund	56064B233	MDHTX	10/28/2021	10/29/2021	10/29/2021	0.028900000	0.028600957	0.000299043
MainStay MacKay Short Duration High Yield Fund	56064B761	MDHVX	10/28/2021	10/29/2021	10/29/2021	0.031200000	0.030877158	0.000322842