



MainStay Funds Retirement Plan Termination Application for Contingent Deferred Sales Charge (CDSC) Waiver

- This form is required for Retirement Plans requesting a full liquidation.
- For details about CDSC waivers please refer to the Prospectus and Statement of Additional Information.

1 PLAN INFORMATION

▲ *Name of Plan* _____

Tax Identification Number _____

2 REASON FOR TERMINATION

Check only one box to indicate primary reason for termination.

- Change in ownership by merger
- Liquidation or dissolution of employer
- Change in ownership by sale or transfer
- Adverse business conditions
- Adoption of new plan
- Other (specify) _____

Is there a plan established or in the process of being established to replace this plan?

- Yes
- No

3 SIGNATURE(S)

By signing below, I/we request that MainStay Funds (the "Funds") waive any Contingent Deferred Sales Charge (CDSC) associated with the redemption of the above referenced retirement plan, as such redemption results from the termination of the above-named plan. The information above is accurate and I/we will be responsible for amounts due to MainStay Funds and/or NYLIFE Distributors LLC for any misrepresentations made.

▲ <i>Trustee Name (Please Print)</i>	<i>Signature</i>	<i>Date - MM/DD/YYYY</i>
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mainstayinvestments.com

For Assistance: Call your investment professional or call toll-free 800-MAINSTAY 800-624-6782 / option 2	Mailing Address: MainStay Investments P.O. Box 8401 Boston, MA 02266-8401	Overnight Express Address: MainStay Investments c/o BFDS 30 Dan Road Canton, MA 02021-2809	MainStay Funds are distributed by NYLIFE Distributors LLC 169 Lackawanna Avenue Parsippany, NJ 07054
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