



# MainStay Funds Change of Broker Dealer Form

- This form is used to change the Broker Dealer of record on your MainStay account.
- The Custodian of the Funds will remain the same and will act in accordance to the provisions set forth in the original Application, Prospectus and/or Custodial Agreement.

## 1 CURRENT ACCOUNT INFORMATION (Required. Reference all funds and accounts.)

▲ Name of Individual, Custodian, Corporation or Trust (exactly as it appears on your statement) ("Shareholder")

▲ Name of Joint Tenants, Minor, Trustee(s), Corporate Officer(s) (exactly as it appears on your statement) ("Shareholder")

▲ Address City State Zip Code

▲ Fund Name Account Number

▲ Fund Name Account Number

▲ Fund Name Account Number

## 2 THIS SECTION MUST BE COMPLETED BY YOUR NEW BROKER DEALER

▲ Dealer Name (Please Print) Dealer Number

▲ Branch Address Branch Number

▲ City State Zip Code

▲ Registered Representative Name Registered Representative's ID Number

▲ Registered Representative Phone Number (Include area code) Date—MM/DD/YYYY

▲ Authorized Signature of Dealer (optional) Branch Phone Number (Include area code)

## 3 SIGNATURE(S) (All current owners must sign this section exactly as the account(s) is/are registered.) Please change the name of my Broker Dealer on your records.

▲ Signature of Shareholder (exactly as registered) Daytime Phone Number (Important) Date—MM/DD/YYYY

▲ Signature of Shareholder (exactly as registered) Daytime Phone Number (Important) Date—MM/DD/YYYY

mainstayinvestments.com

**For Assistance:**  
Call your investment professional or call toll-free 800-MAINSTAY 800-624-6782 / option 2

**Mailing Address:**  
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**Overnight Express Address:**  
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MainStay Funds are distributed by  
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