



MainStay Funds Coverdell Education Savings Account Transfer Form

- Please use this form to transfer Coverdell Education Savings Account (CESA) assets from another financial institution to a MainStay CESA.
- Use a separate form for each additional institution and/or each certificate of deposit from which assets are to be transferred. Please check with the current custodian regarding additional information or forms which they may require.

A ACCOUNT INFORMATION

▲ *Responsible Individual*

▲ *Designated Beneficiary*

Social Security Number of Designated Beneficiary (required)

▲ *Mailing Address (If your mailing address is a P.O. Box please also provide your street address below.)*

▲ *Street Address (Complete only if your address is a P.O. Box)*

▲ *City*

State

Zip code

▲ *Daytime phone number (include area code)*

▲ *Registered Representative's name*

▲ *Registered Representative's phone number (include area code)*

B INSTRUCTIONS FOR INVESTING (Please check only one box.)

- I am opening a MainStay CESA and have attached a MainStay CESA application with my investment selections.¹
- I have an existing MainStay CESA. Please purchase additional shares with my transfer proceeds as indicated below.¹
- Owner must be the same for all accounts listed.

Existing MainStay Fund	Class of Shares	MainStay CESA Account Number	% of Total Asset Transfer
_____	_____	_____	_____ _____ _____ _____ %
_____	_____	_____	_____ _____ _____ _____ %
_____	_____	_____	_____ _____ _____ _____ %
_____	_____	_____	_____ _____ _____ _____ %

(Total must equal 100%)

1. See Prospectus for initial/additional investment Fund minimums.

C INFORMATION ABOUT YOUR CURRENT CESA

▲ Financial Institution

▲ Financial Institution Contact Person

Contact person's phone number

▲ Street Address

▲ City

State

Zip Code

▲ Registration of current account

Current Account Number/Name of Fund(s)

Date of Maturity—MM/DD/YYYY
(if Certificate of Deposit)

D TRANSFER AUTHORIZATION

I represent that the MainStay CESA is a proper recipient for a transfer. I wish to transfer the assets of my present CESA to New York Life Trust Company as Custodian, as follows. (check one)

Please liquidate and transfer all of my assets. Approximate value \$ _____

Please liquidate and transfer \$ _____ or _____ % of my assets.

Please liquidate my Certificate of Deposit: On its maturity date
 Immediately (Surrender or withdrawal penalties may apply.)

Please transfer assets in kind. \$ _____ or _____ % of my assets.

The attached letter indicates New York Life Trust Company's acceptance of appointment as Custodian and its willingness to accept assets from you. Please sell assets in the account indicated in Section C.

For transfers other than in-kind transfers send a check for the proceeds payable to:

MainStay Funds CESA, FBO (designated beneficiary name) P.O. Box 8401, Boston, MA 02266-8401.

I direct and authorize the above liquidation and/or transfer of the net liquidation proceeds. I am aware that if I request a liquidation of my certificate of deposit prior to the maturity date I may be subject to surrender or withdrawal penalties. The MainStay Funds and its affiliates will not be responsible for the current custodian's failure to timely process a transfer request and/or any withdrawal penalties assessed to the client as a result of untimely processing by the bank. Any penalties resulting from such failure which result in income tax or penalties will not be payable by, or chargeable to, MainStay Funds, NYLIFE Distributors LLC, New York Life Trust Company, NYLIM Service Company LLC, New York Life Investment Management LLC, or Boston Financial Data Services as Transfer Agent where they have processed the transfer request on a timely basis.

▲ Signature of Responsible Individual

Date—MM/DD/YYYY

IMPORTANT: If opening a new MainStay CESA, mail this form with a completed MainStay CESA Application to the address listed on the application.

mainstayinvestments.com

For Assistance:

Call your Registered Representative or call toll-free 800-MAINSTAY 800-624-6782 / option 2

Mailing Address:

MainStay Investments
NYLIM Service Company
P.O. Box 425
Parsippany, NJ 07054-0425

Overnight Express Address:

MainStay Investments
NYLIM Service Company
169 Lackawanna Avenue
Parsippany, NJ 07054-0425

MainStay Funds are distributed by NYLIFE Distributors LLC,
169 Lackawanna Avenue, Parsippany, NJ 07054.